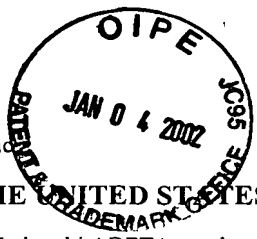


Docket No. 213536US2PCT/hc



AO

#4
BT
01-10-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Sadayuki ABETA, et al.

SERIAL NO: 09/914,781

GAU: 2661

FILED: September 5, 2001

EXAMINER:

FOR: SIGNAL FORMAT IN MULTI-CARRIER CDMA TRANSMISSION SYSTEM

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

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REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

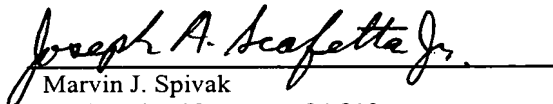
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Marvin J. Spivak
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Joseph A. Scafetta, Jr.
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Docket No.

213536US2PCT/h



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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 213536US2PCT		SERIAL NO. 09/914,781	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Sadayaku ABETA, et al.			
				FILING DATE September 5, 2001		GROUP 2661	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA 5,694,388	12/02/97	M. SAWAHASHI, et al.				
	AB					RECEIVED JAN 07 2002 Technology Center 2600	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AO WO 96/00471	01/04/76	WIPO (submitting corr. US 5,694,388 only)				
	AP 10-28077	01/27/98	JAPAN (submitting English translation only)				
	AQ 2000-201134	07/18/2000	JAPAN (submitting English translation only)				
	AR 11-514827	12/14/99	JAPAN (submitting corr. WO 98/10542 only)				
	AS WO 98/10542	03/12/98	WIPO				
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	YUKIKO HANADA, et al., Proceedings of the 1999 IEICE General Conference, The Institute of Electronics, Information and Communication Engineers, page 369, "OFDM/DS-CDMA PACKET MUSEN ACCESS NI OEKRU PILOT DOUKI KENHA", March 25-28, 1999 (submitting English Translation only) ("COHERENT DETECTION WITH PILOT SYMBOL IN OFDM/DS-CDMA PACKET RADIO ACCESS", pages 4 -3)					
	AX	HIROYUKI ATARASHI, et al., Technical Report of IEICE, A-P99-134, RCS99-131, The Institute of Electronics, Information and Communication Engineers, vol. 99, no. 357, pages 71-77, "PERFORMANCE COMPARISONS OF COHERENT SC/DS-CDMA, MC/DS-CDMA ON UP-LINK BROADBAND RADIO PACKET TRANSMISSION", October 1999 (submitting English translation only, pages 4 - 16)					
	AY						
	AZ						
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							